# Potential spread of highly pathogenic avian influenza H5N1 by wildfowl: dispersal ranges and rates determined from large-scale satellite telemetry

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## Summary

1. Migratory birds are major candidates for long-distance dispersal of zoonotic pathogens. In recent years, wildfowl have been suspected of contributing to the rapid geographic spread of the highly pathogenic avian influenza (HPAI) H5N1 virus. Experimental infection studies reveal that some wild ducks, geese and swans shed this virus asymptomatically and hence have the potential to spread it as they move.

2. We evaluate the dispersive potential of HPAI H5N1 viruses by wildfowl through an analysis of the movement range and movement rate of birds monitored by satellite telemetry in relation to the apparent asymptomatic infection duration (AID) measured in experimental studies. We analysed the first large-scale data set of wildfowl movements, including 228 birds from 19 species monitored by satellite telemetry in 2006–2009, over HPAI H5N1 affected regions of Asia, Europe and Africa.

3. Our results indicate that individual migratory wildfowl have the potential to disperse HPAI H5N1 over extensive distances, being able to perform movements of up to 2900 km within timeframes compatible with the duration of asymptomatic infection.

4. However, the likelihood of such virus dispersal over long distances by individual wildfowl is low: we estimate that for an individual migratory bird there are, on average, only 5–15 days per year when infection could result in the dispersal of HPAI H5N1 virus over 500 km.

5. Staging at stopover sites during migration is typically longer than the period of infection and viral shedding, preventing birds from dispersing a virus over several consecutive but interrupted long-distance movements. Intercontinental virus dispersion would therefore probably require relay transmission between a series of successively infected migratory birds.

**6.** Synthesis and applications. Our results provide a detailed quantitative assessment of the dispersive potential of HPAI H5N1 virus by selected migratory birds. Such dispersive potential rests on the assumption that free-living wildfowl will respond analogously to captive, experimentallyinfected birds, and that asymptomatic infection will not alter their movement abilities. Our approach of combining experimental exposure data and telemetry information provides an analytical framework for quantifying the risk of spread of avian-borne diseases.

**Key-words:** avian influenza, disease ecology, dispersal, duck, H5N1, migration, pathogen, waterfowl, zoonosis

## Introduction

Migratory birds engaged in repeated long-distance movements are major candidates for the dispersal of various zoonotic agents across national and intercontinental borders,

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including viral diseases (e.g. avian influenza and West Nile), fungal and bacterial diseases (e.g. salmonellosis), as well as infected arthropod vectors (e.g. tick-borne Lyme disease) (Reed *et al.* 2003). A better understanding of the dispersal potential of pathogens associated with wild bird movements, in particular during long-distance migration, is increasingly recognised as a major requirement for improving our ability to predict the risk of spread of avian-borne diseases (Nathan 2008).

Following the rapid spread of highly pathogenic avian influenza (HPAI) H5N1 virus over Eurasia and Africa in 2005–2006 and concurrent reports of mortality events in some migratory wildfowl, i.e. ducks, geese and swans (Anatidae) (Liu *et al.* 2005; Hesterberg *et al.* 2009), these birds have been suspected of contributing to the geographic spread of HPAI H5N1 virus. Today, whilst HPAI H5N1 outbreaks persist in these regions, the potential range and rate of long-distance dispersal of these viruses by wildfowl remains unknown. A direct investigation of virus dispersal by wildfowl is, however, challenged by the difficulty of detecting and monitoring the movements of naturally-infected free-living birds and by constraints on our ability to release experimentally-infected birds.

For a bird to be a long-distance vector of a viral disease, (i) it must be in contact with the virus, be receptive to infection and shed virus; (ii) infection should be asymptomatic, at least temporally, without hampering bird movements; (iii) it must be able to perform long-distance movements within a timeframe of asymptomatic infection; (iv) timing of asymptomatic infection must coincide with the time when it performs a longdistance movement; and (v) it must transmit virus infection to other susceptible hosts through direct contact or a shared environment.

Wildfowl are the primary reservoir of low pathogenic avian influenza (LPAI) viruses together with shorebirds (Olsen *et al.* 2006). These birds are generally infected asymptomatically, demonstrate no clinical signs or pathological lesions, and shed high-concentration of viruses in their faeces (Webster *et al.* 1978). World-wide surveillance studies have consistently revealed the occurrence of LPAI viruses in wildfowl, from boreal (Koehler *et al.* 2008) to tropical latitudes (Gaidet *et al.* 2007). Phylogenetic relationships and gene reassortment found between avian influenza viruses (AIVs) isolated from wildfowl world-wide indicate that inter-continental exchange of viruses via migratory birds does occur (Dugan *et al.* 2008; Koehler *et al.* 2008).

Prior to 2002, HPAI viruses responsible for severe mortality in domestic birds (i.e. gallinaceous poultry and ostriches) were generally not detected in wild birds (Olsen *et al.* 2006). The HPAI H5N1 virus that re-emerged in domestic birds in 2002 showed the capacity to infect a large diversity of wild birds, including wildfowl. Since 2002, HPAI H5N1 viruses have been reported in more than 120 species of wild birds (USGS 2008), usually found dead or diseased (Liu *et al.* 2005; Hesterberg *et al.* 2009). In a few cases however, HPAI H5N1-infection has been found in healthy free-living wildfowl, with no apparent clinical signs (Chen *et al.* 2006; Saad *et al.* 2007; FAO 2008; Hesterberg *et al.* 2009; OIE 2009), indicating that some healthy carriers may exist in the wild.

An increasing number of recent experimental infection studies have revealed that some wild species of ducks, geese and swans can replicate and shed HPAI H5N1 virus asymptomatically for several days without exhibiting any apparent clinical signs or prior to the onset of illness (Brown *et al.* 2006, 2007; Brown, Stallknecht & Swayne 2008; Kalthoff *et al.* 2008; Keawcharoen *et al.* 2008; Kwon, Thomas & Swayne 2010). Although there is heterogeneity amongst species in clinical susceptibility, these findings consistently suggest that some wildfowl could spread HPAI H5N1 virus during a period of asymptomatic infection (Brown, Stallknecht & Swayne 2008; Kalthoff *et al.* 2008; Keawcharoen *et al.* 2008; Kwon *et al.* 2010).

In this study, we evaluated the dispersive potential of HPAI H5N1 viruses by wildfowl by an indirect approach, through an analysis of the movement ranges and rates of satellite-tracked birds in relation to the apparent asymptomatic infection duration (AID) measured in experimental studies. Satellite-based telemetry is increasingly used to monitor free-ranging animal movements over extensive and remote regions, but considerable advances in miniaturisation of satellite-tracking devices have only recently allowed medium-size birds such as small wildfowl to be equipped. Satellite telemetry offers various advantages over conventional methods in estimating long-distance dispersal (Nathan et al. 2003). It provides a direct measurement of individual movements over relatively long periods and at an intercontinental scale, including over the most remote areas of the world. In addition, location data obtained every 2-4 days allows for analysis of individual movements with a high temporal resolution compatible with the duration of viral infection.

Most migratory wildfowl species are known to make longdistance movements with a relatively high flight speed (50– 80 km h<sup>-1</sup>) (Clausen *et al.* 2002). From a disease transmission and spread standpoint, three types of relevant movements may be distinguished: (i) cyclical and predictable migration movements between non-breeding and breeding grounds; (ii) irregular climate-influenced movements performed in response to cold weather, drying of wetlands or temporarily available habitat; and (iii) daily movements amongst feeding, breeding or roosting sites. Range, frequency and orientation of these different types of movements vary amongst individuals, populations and species, seasons, latitude and ecological contexts, resulting in a gradient of mobility behaviour ranging from sedentary birds to nomadic and long-distance migrants.

We report here the analysis of the first large-scale data set of wildfowl movements monitored by satellite telemetry in the framework of an international programme coordinated by the Food and Agricultural Organisation of the United Nations. Birds from 19 wildfowl species were monitored during 2006–2009 over the main regions reporting occurrence of HPAI H5N1 viruses, i.e. South-East, East and Central Asia, Middle-East, East Europe and West Africa (Fig. 1). Several of the species monitored are some of the main candidates identified as potential long-distance vectors of HPAI H5N1 virus (e.g.

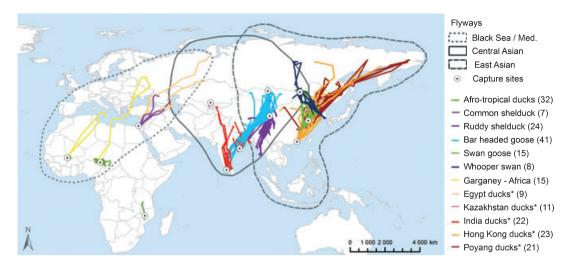


Fig. 1. Movements paths of wildfowl species (no. of birds) monitored by satellite telemetry during 2006–2009 over three main wildfowl migratory flyways (Black Sea-Mediterranean, Central Asian and East Asian flyways) and the inter-tropical African region. For a detailed list of birds monitored see Table S1 (Supporting information). \*Afro-tropical ducks: spur-winged goose, comb duck, white-faced and fulvous whistling ducks; Egypt ducks: common teal, Northern pintail and Northern shoveler; Kazakhstan ducks: common teal, gadwall, mallard, Northern shoveler; India ducks: common teal, Eurasian wigeon, gadwall, garganey, Northern pintail and Northern shoveler; Hong Kong ducks: Eurasian wigeon and Northern pintail; Poyang Ducks: Baikal teal, Chinese spotbill duck, common teal, Eurasian wigeon, falcated teal, garganey, mallard and Northern pintail. (Map by M. Gély © CIRAD.)

mallard *Anas platyrhynchos*, bar-headed goose *Anser indicus*, whooper swan *Cygnus cygnus*; Brown, Stallknecht & Swayne 2008; Keawcharoen *et al.* 2008). Species monitored are also amongst the most abundant wildfowl species across Eurasia and/or Africa, representing 9 of the 16 species estimated to each have populations in excess of one million birds (Wetlands International 2006).

Our analysis included two primary components. We first compiled results of all available studies of wild species of ducks, geese and swans experimentally infected with HPAI H5N1 virus and determined the average and range values of the AID in wildfowl. Secondly, we estimated the maximum distance and rate of potential virus dispersal for each wildfowl species monitored by satellite telemetry. Through an iterative process over the entire monitoring period of each bird, we analysed the magnitude and frequency of individual movements during timeframes when birds could spread the virus, considering the minimum to maximum values of the AID.

#### Materials and methods

#### REVIEW OF EXPERIMENTAL INFECTION STUDIES OF WILDFOWL WITH HPAI H5N1 VIRUS

We restricted our review to studies of wildfowl experimentally infected with virus strains of the Qinghai lineage (clade 2·2) (WHO/OIE/FAO 2008), i.e. the lineage of all HPAI H5N1 viruses isolated in wildfowl since 2005 (Chen *et al.* 2006; Salzberg *et al.* 2007; with few exceptions, Uchida *et al.* 2008). Duration of shedding was computed from virus isolation data, considering the absolute duration of virus excretion detected in either the cloaca or the oro-pharynx after inoculation. We considered results from each species × strain inoculation trial as replicates of distinct potential infection events. We pooled results from birds across different treatment groups (e.g. inoculated/contact birds, naïve/pre-exposed birds, various concentrations of infectious doses) to represent individual variability within a population exposed to a natural infection.

#### SATELLITE TELEMETRY PROCEDURES

We captured wildfowl during 2006-2009 in eight African, Asian and European countries (Fig. 1, see Table S1, Supporting information) in collaboration with a number of national and international teams (Appendix S1, Supporting Information), using baited funnel traps, mist nets, cannon-nets, Indian and Nigerian traditional leg nooses, or by driving flightless moulting birds into corrals. Birds were equipped with solar-powered Platform Terminal Transmitters (PTTs; Microwave Telemetry Inc., Columbia, MD, USA) attached dorsally with a Teflon harness-attachment. PTTs of various size (9.5-70 g) were used according to species body mass (Table S1, Supporting information), each programmed to transmit every 24 or 48 h. Battery-powered coelomic cavity implants (26 g) were also used for a few ducks (4 h/76 h duty cycle, n = 18). The transmitters represented ≤4% of the body mass of each bird. We evaluated the potential detrimental effect of harness-attached transmitters on captive birds (at Montpellier Zoo, France) equipped with exact replicas of 12, 18 and 30 g PTTs. Monitoring of three garganeys Anas querquedula, three fulvous whistling ducks Dendrocygna bicolor and two comb ducks Sarkidiornis melanotos every two days during 7 weeks revealed no body mass reduction, feather damage or skin irritation.

Movements were monitored until June 2009 using the Argos satellite tracking system. We considered all locations recorded from time of release until June 2009 or until PTTs stopped transmitting. Only birds that had transmitted after the first-30 days post-release were considered in our analysis, in order to discard potentially aberrant movements of birds that may have been affected by the capture, handling or harness. Some birds (n = 4) remained within their wintering areas beyond the departure dates generally recorded for these species. They were excluded from the analysis after a time limit corresponding

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to the departure date of the last successfully tracked bird from this species.

We selected locations according to spatial accuracy classes estimated by Argos CLS, based on the number of transmissions received from the PTT during a satellite overpass. We used only locations from classes 3–0 (based on ≥4 satellite transmissions) with an estimated accuracy of <150, 150–350, 350–1000 and >1000 m, respectively (Argos 1996). We removed all aberrant locations typical in satellite telemetry studies (erroneous Argos calculated locations) using both quantitative and qualitative criteria based on implausible flight speed, angle and rate to previous and subsequent locations as well as unrealistic habitat. On rare occasions (<1% of locations analysed), we used validated locations of unspecified accuracy (A and B classes, based on three and two transmissions, respectively) to complete the description of the flight path for some long-distance movements (only when they indicated meaningful flight speeds and angles).

#### ANALYSIS OF SATELLITE-TRACKED BIRD MOVEMENTS

We distinguished two groups of birds (see Table S1, Supporting information) according to scale, timing and orientation of movements: (i) long-distance migratory birds undertaking extensive, seasonal and latitudinal-orientated movements; (ii) sedentary or nomadic birds performing only local to regional year-round movements, generally irregular and multidirectional, within the main lake or river basin in which they had been caught. In long-distance migratory birds, we distinguished four stages in their annual cycle: nonbreeding, spring migration (i.e. northward boreal spring migration), breeding-post breeding and autumn migration (i.e. southward). We defined the onset of spring and autumn migration for each bird as the first set of sequential locations indicating a persistent latitudinal-orientated long-distance (>100 km) movement. Spring and autumn migrations lasted until the last of a series of successive long-distance movements, interrupted by staging periods. The end of migration was defined as the first date of a series of nearby locations, generally < 50 km apart, situated within the species' breeding and wintering ranges respectively, in accordance with available ornithological information (Scott & Rose 1996; Miyabayashi & Mundkur 1999). Longdistance movements apparently associated with moult migration were attributed to either post breeding, autumn migration or non-breeding stages according to the period and sites where they took place.

## EVALUATION OF POTENTIAL DISPERSAL DISTANCES AND RATES

We assumed each location recorded during the monitoring period of satellite-tracked birds as a site and time of potential infection. We evaluated the potential dispersal distance of HPAI H5N1 viruses by wildfowl as the maximum distance ( $D_{max}$ ) covered by a satellite-tracked bird during a timeframe corresponding to the AID. For each bird, we first calculated the  $D_{max}$  between all locations recorded on a defined day of potential infection and every location recorded in successive days of the AID period. We calculated this  $D_{max}$  for each day for which at least one valid location was available, through an iterative process using a sliding timeframe over the entire bird monitoring period. We then calculated the maximum dispersal distance from the maximum value of all  $D_{max}$  calculated over each stage of the annual cycle. We repeated this analysis for each AID-considered. Distances between locations were calculated with the Great Circle distance (WGS84 ellipsoid) method, using R (http://www.R-project.org).

We estimated the individual rate of potential long-distance dispersal as the proportion of days of potential infection associated with a maximum dispersal distance  $\geq 100$  and 500 km within a mean AID of 4 days. We first calculated the percentage of days for which we calculated a  $D_{\text{max}}$  with a value  $\geq 100$  and 500 km over each stage of the annual cycle. We then estimated the number of days associated with a  $D_{\text{max}} \geq 100$  and 500 km over the entire period of each stage, considering the distribution of long-distance movement to be homogenous over each stage. We estimated the annual rate from the sum of days with  $D_{\text{max}} \geq 100$  and 500 km calculated for each stage of the annual cycle.

Satellite transmissions were interrupted in some birds during some periods that exceeded the AID timeframes. To avoid under-estimating maximum dispersal distance, in each season we excluded birds for which 90% of the maximum dispersal distance that could have been performed during an interrupted transmission period (i.e. the distance between the last and first consecutive locations recorded) exceeded the maximum dispersal distance performed in a mean AID-timeframe throughout the remainder of their migration. This resulted in excluding birds from each group including the spring (n = 21, 22%), breeding (n = 20, 17%), autumn (n = 28, 30%), and wintering (n = 14, 15%) stages, as well as sedentary (n = 3, 2%) and nomadic (n = 4, 11%) birds.

### Results

#### ASYMPTOMATIC INFECTION DURATION

We compiled results of 135 inoculated birds from 23 distinct inoculation trials, representing 18 wildfowl species and four clade 2.2 virus strains (Table 1). The response to infection differed between species in terms of clinical susceptibility (morbidity and mortality rates varying from 0 to 100%) and in duration of viral shedding (1–8 days). However, 90% (122/135) of all inoculated and contact birds were successfully infected and generally began excreting virus one day post inoculation.

All infected birds showed a period of asymptomatic but productive infection (with the exception of some black swans *Cygnus atratus*): birds excreted virus either without any clinical signs or before the onset of illness (i.e. pre-clinical shedding) from which some recovered and others died. We calculated the AID for each species × strain trial from the time between inoculation and either (i) the end of viral shedding in asymptomatic birds or (ii) the onset of detectable clinical signs in symptomatic birds (Table 1). We estimated minimum, mean and maximum AID values across all species of 1, 4 and 8 days, respectively.

#### MAXIMUM POTENTIAL DISPERSAL DISTANCE

We analysed the movement patterns of 228 satellite-tracked birds from 19 species. Birds were monitored for an average period of 188 days (26–838 days, Table S1, Supporting information), over three main wildfowl migratory flyways (Fig. 1).

Our analysis reveals that migratory wildfowl have the potential for dispersal of HPAI H5N1 virus over extensive distances: during spring and autumn migrations, satellite-tracked birds covered up to  $\sim$ 300 km to 1700 km in a 4-day timeframe on average depending on the species (Table 2), with individual maximum values of up  $\sim$ 2500 and 2900 km (Table S2,

Treatment         Doses         Onset           ifts)         group†         Strains‡ $log_{00}$ Infect % $_{4}$ ;         dpi           ifts)         group†         Strains‡ $log_{00}$ Infect % $_{4}$ ;         dpi           if         in         WS/Mg/05 $6_{a}$ 100         1           in         Tk/Tk/05 $4_{b}$ 38         1           in/Ct         Ck/Kt/06 $6_{a}$ 100         1           in/Ct         Ck/Kt/05 $4_{a}$ 38         1           in/Ct         Ck/Kt/06 $6_{a}$ 100         1           in/Ct         Ck/Kt/06 $6_{a}$ 100         1           in/Ct         Ck/Kt/06 $6_{a}$ 100         1           in/Ct         WS/Mg/05 $6_{a}$ 100         1     <	ies* nptomatic									Sunday instants					
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	nptomatic	No.	Age (months)	Treatment group†	Strains‡	$\mathrm{Dose}_{\mathrm{10}}^{\mathrm{s}}$	Infect %‡‡	Onset dpi	Duration days	Onset dpi	Sick/ Total	Dead/ Total	MDT dpi	days	Я
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Lateria 1														
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	orth. pintall	ŝ	2.5-4	In	WS/Mg/05	$6_{a}$	100	1	$1\!-\!2$		0/3	0/3		2.5 (2-3)	-
$ \begin{array}{llllllllllllllllllllllllllllllllllll$	mm. teal	3	2.5-4	In	WS/Mg/05	$6_{\rm a}$	100	1	2		0/3	0/3		3(3-3)	1
$ \begin{array}{lcccccccccccccccccccccccccccccccccccc$		8	8-11	In	Tk/Tk/05	$4_{\rm b}$	38	1	1 - 5		0/8	0/8		3.5(2-6)	5
	ır. wigeon	8	8-11	In	Tk/Tk/05	$4_{\rm b}$	50	1	1-2		0/8	0/8		2.5(2-3)	5
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	allard	8	8-11	In	Tk/Tk/05	$4_{\rm b}$	100	1	1-4		0/8	0/8		4 (2-5)	5
		Э	3	In/Ct	Ck/Kr/06	$6_{\rm a}$	100	1	2–3		0/3	0/3		3.5 (3-4)	9
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	ıdwall	8	8-11	In	Tk/Tk/05	$4_{\rm b}$	88	1	1-6		8/0	0/8		4 (2-7)	5
$ \begin{array}{llllllllllllllllllllllllllllllllllll$	xdhead	3	2.5-4	In	WS/Mg/05	$6_{\mathrm{a}}$	100	1	$1^{-4}$		0/3	0/3		3.5 (2-5)	-
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	otomatic														
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	ood duck	3	2.5-4	In	WS/Mg/05	$6_{\rm a}$	100	1	46	5	2/3	2/3	7-5	5.5 (5-6)	1
$ \begin{array}{llllllllllllllllllllllllllllllllllll$		20	3-4	In	WS/Mg/05	$1.5-6_{a}$	95	2	2-4	NA	18/20	18/20	5.5	5 (4–6)	0
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	andarin duck	3	2	In/Ct	Ck/Kr/06	$6_{\rm a}$	100	1	5-6	4	1/3	1/3	5	6 (4–7)	9
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	ur. pochard	7	8-11	In	Tk/Tk/05	$4_{ m b}$	100	1	2-5	36	4/7	1/7	4	3.5(3-6)	ŝ
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	ifted duck	7	8-11	In	Tk/Tk/05	$4_{\rm b}$	86	1	2-4	3.5	L/L	3/7	4	3.5 (1-4)	(Y)
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	ıddy sheld.	ŝ	3	In/Ct	Ck/Kr/06	$6_{\rm a}$	100	4	6	5	3/3	3/3	7	5 (5-5)	9
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	ur-hd. goose	5	3	In/Ct	WS/Mg/05	$6_{\mathrm{a}}$	100	1-2	58	3-7	5/5	2/5	6.5	4.5(3-7)	e
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	ick. goose	4	3	In/Ct	WS/Mg/05	$6_{\mathrm{a}}$	100	1 - 3	4–6	3-7	4/4	3/4	9	5 (3–7)	m
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	reylag goose	ŝ	1.75	In/Ct	Ck/Kr/06	$6_{\mathrm{a}}$	67	_	5-6	5-6	3/3	0/3		5.5 (5–6)	9
5 $1-1-5$ $1n/Ct$ WS/Mg/05 $6_{a}$ 100 1 4-6 2 4 $1-1-5$ $1n/Ct$ WS/Mg/05 $6_{a}$ 100 1 4-6 2-4 5 $1-1-5$ $1n/Ct$ WS/Mg/05 $6_{a}$ 100 1 3-7 5-7 14 $12-48$ $1n/Ct/Px$ WS/Gm/06 $4-6_{a}$ 100 1-3 6 4-8	ack swan	5	$1 - 1 \cdot 5$	In/Ct	WS/Mg/05	$6_{\mathrm{a}}$	100	1	2–3	1-2	5/5	5/5	2.5	1.5(1-2)	m
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	ump. swan	5	$1 - 1 \cdot 5$	In/Ct	WS/Mg/05	$6_{\mathrm{a}}$	100	1	4-6	7	5/5	5/5	4.5	2 (2–2)	(n)
5 $1-1-5$ $1n/Ct$ WS/Mg/05 $6_a$ 100 1 $3-7$ $5-7$ 14 $12-48$ $1n/Ct/Px$ WS/Gm/06 $4-6_a$ 100 $1-3$ 6 $4-8$	hooper swan	4	1-1.5	In/Ct	WS/Mg/05	$6_{\mathrm{a}}$	100	1	46	2-4	4/4	4/4	4	3 (2-4)	ŝ
12–48 In/Ct/Px WS/Gm/06 4–6 <sub>a</sub> 100 1–3 6 4–8	ute swan	5	$1 - 1 \cdot 5$	In/Ct	WS/Mg/05	$6_{\mathrm{a}}$	100	1	3-7	5-7	5/5	5/5	6.5	6 (5–7)	ŝ
		14	12-48	In/Ct/Px	WS/Gm/06	$4-6_{\rm a}$	100	1 - 3	9	4-8	12/14	11/14	6	5.5 (3–8)	4
3 1-75 In/Ct Ck/Kr/06 6 <sub>a</sub> 100 1 3–5 3-4		ŝ	1.75	In/Ct	Ck/Kr/06	$6_{\mathrm{a}}$	100	1	3-5	3-4	3/3	3/3	4.5	3.5 (3-4)	9
Total 18 sp. 135 1–48 3 4 1·5–6 90 1–4 1–8 1–8 0–100	otal 18 sp.	135	1-48	3	4	1.5-6	06	$1_{-4}$	1 - 8	$1_{-8}$	0 - 100	0 - 100	2.5-9	4 (1–8)	

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**Table 2.** Estimated mean (+/-SE) maximum potential dispersal distance  $-D_{max}4$  (km) of HPAI H5N1 viruses for each species of satellite-tracked birds (*n*) for a mean AID (4-day timeframe)

а .	Non-breedi	ng	Spring migra	ation	Breeding–P breeding	ost	Autumn mig	ration		Annual cyc	$ \frac{n}{5} \\ 8 \\ 2 \\ 1 \\ 9 \\ 12 \\ 10 $
Species LDM*	$D_{\rm max}4$	n	$D_{\rm max}4$	n	$D_{\rm max}4$	n	$D_{\rm max}4$	n	SN†	$D_{\rm max}4$	п
North. pintail	109 (123)	26	1444 (465)	16	302 (243)	4	NA		Mallard‡	66 (24)	5
North. shoveler	111 (111)	12	919 (363)	5	88 (58)	3	322	1	Spotbill duck	83 (42)	8
Comm. teal	98 (92)	8	886 (369)	4	357 (373)	5	323 (124)	3	Gadwall	67 (56)	2
Falcated teal	8	1	928 (25)	3	176 (106)	3	969	1	F Wh. duck	135	1
Baikal teal	NA		1303	1	NA		NA		Wf Wh. duck	137 (151)	9
Eur. wigeon	45 (50)	7	1673 (842)	6	90 (71)	3	1461	1	Comb duck	196 (151)	12
Mallard‡	26	1	NA		55 (26)	2	331	1	Spwg. goose	47 (14)	10
Garganey	123 (119)	22	1269 (772)	11	286 (293)	2	1002	1			
Ruddy sheld.	101 (52)	19	1106 (454)	14	203 (249)	20	1263 (380)	16			
Comm. sheld.	107 (53)	7	767 (178)	4	65 (79)	3	NA				
Swan goose	105 (41)	5	1007 (236)	4	79 (45)	15	753 (332)	6			
Bar-hd. goose	94 (103)	25	633 (245)	11	160 (167)	33	625 (226)	33			
Whooper swan	30	1	723	1	122 (66)	8	1008	1			

 $D_{\text{max}}$  was estimated as the maximum distance covered by a bird during every 4-day timeframes to evaluate the potential dispersal range of H5N1 HPAI virus by wildfowl.  $D_{\text{max}}$  was estimated for four distinct stages in long-distance migratory birds (LDM) and throughout the annual cycle in sedentary or nomadic birds (SN).

\*LDM: Northern pintail A. acuta, Northern shoveler A. clypeata, common teal Anas crecca, falcated teal A. falcata, Baikal teal Anas formosa, Eurasian wigeon A. penelope, mallard A. platyrhynchos, garganey A. querquedula, ruddy shelduck Tadorna ferruginea, common shelduck T. tadorna, swan goose Anser cygnoides, bar-headed goose Anser indicus, whooper swan Cygnus cygnus.

†SN: mallard *A. platyrhynchos*, spotbill duck *Anas poecilorhyncha*, gadwall *A. strepera*, fulvous whistling duck *Dendrocygna bicolor*, white-faced whistling duck *D. viduata.*, comb duck *Sarkidiornis melanotos*, spur-winged goose *Plectropterus gambensis*.

‡ Sympatric populations of sedentary and migratory mallards.

Mean values per species are presented here (for max. individual values see Table S2 (Supporting information).  $D_{max}$  estimates for min. and max. AID (1 and 8 days, respectively) are available in Table S3 (Supporting information). NA, no data available.

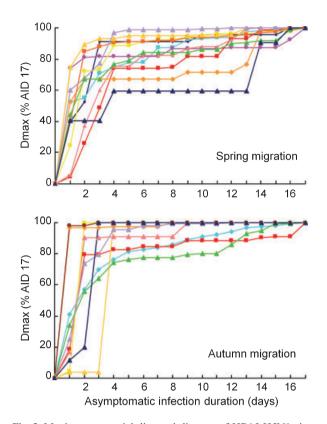
Supporting information). Potential virus dispersal appears to also be extensive when one considers the minimum AID value (1 day), reaching up to  $\sim$ 350–800 km on average in some species during the spring and autumn migration respectively (Table S3, Supporting information), with maximum distances up to  $\sim$ 1700 km for some individuals (Table S2, Supporting information). During the breeding–post breeding and non-breeding periods, potential virus dispersal was restricted on average to distances of < 350 km and < 100 km respectively, although dispersal of up to  $\sim$ 1000 km was occasionally recorded during the post-breeding period. Maximum potential virus dispersal by sedentary and nomadic birds was <200 km, with occasional 4-day dispersals of up to  $\sim$ 400–550 km.

In most species, we observed only a small increase in the maximum dispersal distance for an AID-timeframe of 4 versus 8 days. We then re-ran our analyses to plot the maximum dispersal distance as a function of AID. We considered a maximum duration of 17 days, corresponding to the longest period of detectable virus shedding after inoculation with HPAI H5N1 virus measured in domestic ducks (Hulse-Post *et al.* 2005). Results reveal a threshold in the maximum dispersal distance after only 1–4 days of a 17-day time-frame (Fig. 2; Fig. S1, Supporting information). This indicates that long-distance migratory flights are completed in a few rapid and direct long flights, interspersed with relatively long periods of staging. In addition, staging duration exceeds the

duration of asymptomatic infection and shedding. This finding was consistent across all wildfowl species in all stages of their life cycle.

#### RATE OF POTENTIAL LONG-DISTANCE DISPERSAL

We estimated that for an individual bird, on average, in migratory species there are only 5-15 days per year when infection could result in a dispersal of HPAI H5N1 virus over 500 km (Table 3). This rate was consistent across species, with days of potential dispersal occurring almost exclusively during seasonal long-distance migration. Days of potential virus dispersal >100 km occurred also during the non-breeding and post-breeding periods, and mean individual rates ranged from 26 to 64 days per year depending on the species (Table 3). On the other hand, when we considered the ensemble of migratory wildfowl we monitored, we found a potential for long-distance virus dispersal throughout the year. In both East and Central Asian flyways, during 10 months per year, from 20 to 80% of birds of all species performed at least one 4-day movement >100 km per month (Fig. 3). The potential for virus dispersal of >500 km was restricted to migration periods, i.e. mostly during March-June and September-November. However, as a consequence of asynchrony in departure and arrival time between species within the same flyway, we found that a significant proportion of birds (~20-50%) performed at least one 4-day movement > 500 km per month during six to seven



**Fig. 2.** Maximum potential dispersal distance of HPAI H5N1 virus  $(D_{\text{max}})$  estimated for long-distance migratory birds during spring and autumn migration as a function of asymptomatic infection duration (AID). Mean  $D_{\text{max}}$  per species was calculated for every AID-time-frames of 1–17 days, and presented as a percentage of  $D_{\text{max}}$  for AID 17. For non-migration stages and for sedentary or nomadic birds see Fig. S1 (Supporting information). Northern pintail (orange, square), Northern shoveler (light orange, triangle), common teal (red, square), falcated teal (orange, diamond), Eurasian wigeon (pink, triangle), mallard (maroon, circle), garganey (yellow, square), ruddy shelduck (purple, triangle), common shelduck (pink, circle), swan goose (green, triangle), bar-headed goose (light blue, diamond) and whooper swan (dark blue, triangle).

months per year. Nomadic and sedentary birds rarely moved more than 100 km in a timeframe when they could disperse viruses (0–16 days per year, Table S4, Supporting information), and the potential for virus dispersal of > 500 km was observed in only a single bird.

## Discussion

Combining satellite telemetry and experimental infection data reveals that migratory wildfowl have the potential for dispersal of HPAI H5N1 viruses over long distances. Experimental infection studies indicate that all wildfowl species studied are receptive to HPAI H5N1 infection and show a period of asymptomatic infection and viral shedding. Birds from all of the migratory species monitored by telemetry were able to perform movements of several hundred to a few thousand kilometres within the mean period of asymptomatic infection. Potential virus dispersal could also be extensive (up to 1700 km) when considering a minimum asymptomatic period of one day. In addition, we found that the potential for longdistance dispersal by wildfowl exists for a large part of the year as a result of migration asynchrony between individuals and species.

Virus dispersal requires asymptomatic infection to coincide with the onset of a long-distance movement. Our results indicate that the probability of an individual performing a longdistance movement at the time of asymptomatic infection is low. We estimated that in migratory birds there are, on average, only 5-15 days per year during which infection could result in a dispersal of HPAI H5N1 virus over 500 km. In addition, overall migration, which commonly reached 4000-6000 km (Fig. 1; Table S1, Supporting information), followed a sequential rather than a continuous process. Migration is performed in a series of a few rapid long flights, generally undertaken in 1-4 days, interrupted by staging periods longer than the period of infection and viral shedding. This would prevent a bird from dispersing a virus over several consecutive but interrupted long-distance movements, and would limit the potential for virus dispersal to single movements of ≤2000 km (occasionally up to  $\sim$ 3000 km).

Intercontinental virus dispersal by wildfowl would therefore require a relay transmission amongst a series of birds successively infected. More data are needed to estimate the probability of HPAI H5N1 transmission amongst birds sharing the same site, but the large abundance and species diversity of wildfowl congregating at stopover sites along a migratory flyway, as well as the asynchronous timing of their arrival and departure, may facilitate such relay transmission. However, it would require a large number of birds to be infected at a congregation site to compensate for the low individual rate of long-distance movement. These requirements are fulfilled for LPAI viruses that circulate at high prevalence in migratory ducks during the autumn (Olsen et al. 2006). Accordingly, such frequent transfer of LPAI viruses between continents connected by migratory flyways has been evidenced by phylogenetic analysis (Dugan et al. 2008; Koehler et al. 2008).

The timing, routes and ranges of migration vary according to species, latitude and populations (Scott & Rose 1996; Miyabayashi & Mundkur 1999), but also between individuals according to age and sex (Clausen *et al.* 2002). The number of birds we monitored per species is small relative to population size because of high-costs associated with satellite telemetry and limitations in transmitter performances. However, we estimated dispersal for 19 species across three continents from tropical to boreal latitudes and, although only a fraction of global species diversity (~12%), they represent a wide range of body masses (300 g to 8 kg), sub-families (4 out of 5) and geographical ranges. Although greater movement distances and rates may have been over-looked, we consider our samples of species and regions to provide a valuable evaluation of movement rates in wildfowl.

Although we aimed to minimise any effect of capture, handling and transmitter attachment, transmitters may have affected flight performances of at least some individuals (Roshier & Asmus 2009). Birds for which the signal was lost during the first month or which failed to migrate were accordingly

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Species LDM	Annual cycle			Non-breeding			Spring migration			Breeding–Post breeding			Autumn migration		
	N	>100	> 500	n	>100	> 500	n	>100	> 500	n	>100	> 500	n	>100	> 500
North. pintail		NA	NA		NA	NA	16	26	12		NA	NA		NA	NA
North. shoveler	13	56	8	12	4	0	5	24	8	3	22	0	1	6	0
Comm. teal	9	64	7	8	11	0	4	15	4	5	26	2	3	12	0
Falcated teal	4	33	9	1	0	0	3	13	2	3	9	0	1	10	7
Eur. wigeon	9	32	13	7	2	0	6	16	10	3	4	0	1	10	3
Garganey	22	35	12	22	5	0	11	16	10	2	12	0	1	3	3
Ruddy sheld.	24	26	9	19	6	0	14	8	5	20	7	1	16	4	4
Comm. sheld.		NA	NA		NA	NA	5	9	5		NA	NA		NA	NA
Swan goose	15	54	15	5	4	0	4	26	9	15	3	0	6	21	6
Bar-hd. goose	41	38	8	25	3	0	11	12	4	33	3	0	33	20	4
Whooper swan	8	40	5	1	0	0	1	13	3	8	14	0	1	13	2

**Table 3.** Number of days per year and per season of individual potential for dispersal of HPAI H5N1 virus over a distance > 100 and > 500 kmestimated for each species of long-distance migratory (LDM) birds for a mean AID (4-day timeframe)

Results correspond to the number of days per season/year when a bird if it gets infected could disperse virus over a distance >100 and 500 km, i.e. the number of days that have been followed by such long-distance movements during the 4 following days. NA, no data available. For sedentary or nomadic birds see Table S4 (Supporting information).

excluded from the analysis. Departure and arrival dates of successfully-tracked birds, as well as the migration routes and the locations used during wintering and breeding periods, are consistent with field observations on population migration timing and location of key sites recognised for these species (Scott & Rose 1996; Miyabayashi & Mundkur 1999). The flight distance and speed of successfully-tracked birds are also similar to the few instances of rapid long-distance movements evaluated from direct band recoveries or resightings (Clausen *et al.* 2002; Kleijn *et al.* 2010). Though a detrimental effect of transmitter on long-distance flights cannot be excluded, we consider that the birds that were successfully tracked characterised migration patterns with sufficient accuracy to meet our objectives.

There is also a potential difference in host response to HPAI H5N1 infection according to species, bird age and virus strain (Hulse-Post *et al.* 2005; Brown *et al.* 2006). A majority of species which we monitored through satellite telemetry have been found to be receptive to natural (Liu *et al.* 2005; Chen *et al.* 2006; Saad *et al.* 2007; FAO 2008; Uchida *et al.* 2008; Hesterberg *et al.* 2009; OIE 2009) and/or experimental infections (Table 1). Our review of experimental infection studies also indicates that all infected birds have shown a period of asymptomatic viral infection and shedding, regardless of species, age or virus strain (except in some black swans). In addition, the AID was consistent amongst species and strains, ranging on average between 3 and 5 days in 65% of inoculation trials.

## Captive versus free-living wildfowl

Our estimates of virus dispersive potential by wildfowl rest on the assumption that free-living birds will respond analogously to captive experimentally infected birds kept under controlled experimental conditions. Environmental constraints, such as adverse climatic conditions, episodic high concentration of birds, resource limitation, predation or hunting pressures, as well as concurrent physiological stress or infections with other pathogens, may increase the impact of HPAI H5N1 virus infection in free-living birds. In a few cases, free-living wildfowl have been found naturally infected with HPAI H5N1 virus without apparent clinical signs during large-scale surveillance studies (Chen *et al.* 2006; Saad *et al.* 2007; FAO 2008; Hesterberg *et al.* 2009; OIE 2009), indicating that asymptomatic HPAI H5N1 infection, though scarce, does occur in nature.

On the other hand, prior natural exposure to LPAI viruses (in particular those of H5Nx or HxN1 subtypes) may result in partial acquired immunity and could modulate the outcome of an HPAI H5N1 infection. Wildfowl with naturally (Kalthoff *et al.* 2008) or experimentally (Pasick *et al.* 2007; Fereidouni *et al.* 2009) acquired LPAI-specific antibodies showed no or reduced clinical signs and a lower, delayed and shorter period of viral shedding compared to immunologically naïve birds. This suggests that pre-existing immunity may increase the proportion of subclinical infections in wildfowl populations, but would not increase the timeframe in which birds are capable of dispersing viruses.

Dispersal of HPAI H5N1 virus over long-distance also assumes that asymptomatically infected wildfowl, i.e. with no apparent clinical signs, will attempt to migrate. Activation of the immune system in response to infection comes with costs in terms of energy and time that may compete with other physiologically demanding activities such as long-distance flights (Buehler & Piersma 2008). Several studies indicate that the physiological stress, in terms of metabolic rate (Kvist *et al.* 2001), flight-induced muscle damage (Guglielmo, Piersma & Williams 2001) and energy cost (Butler, Woakes & Bishop 1998) resulting from long-distance migration may be lower than previously assumed, and that long flights may not reduce concurrent immune response (Hasselquist *et al.* 2007), at least for birds in good physiological condition, though interpreta-

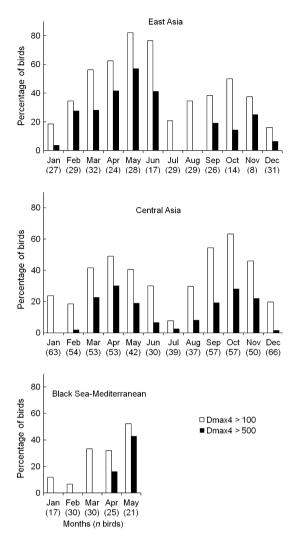


Fig. 3. Monthly variation in the percentage of long-distance migratory birds (n) monitored by satellite telemetry that showed a potential for HPAI H5N1 virus dispersal > 100 and 500 km within each flyway, i.e. that performed at least one movement > 100 and 500 km per month during a 4-day timeframe of asymptomatic infection.

tion of immune response measurement in wildfowl remains complex (Matson *et al.* 2006). These results suggest that migratory birds are physiologically well-adapted to demanding long flights, without compromising the capacity of their immune function. This may result from an adaptive response of migrants to exposure to a wide diversity of pathogens in various environments throughout their annual cycle (Møller & Erritzøe 1998).

Few studies provide direct evidence of the effect of natural AIV infection on migration performance in free-living wildfowl. In two multi-year studies of banded migratory mallards *Anas platyrhynchos* (Latorre-Margalef *et al.* 2009) and greater white-fronted goose *Anser albifrons* (Kleijn *et al.* 2010), dispersal distance of LPAI-infected birds (estimated from banding recoveries or resightings) did not differ from that of uninfected birds, including during the first days after testing (Kleijn *et al.* 2010). Infected mallards had slightly lower body mass (<2% of the body mass) than

## Potential dispersal of H5N1 HPAI virus by wildfowl 9

uninfected ducks, whilst infected and uninfected geese did not differ in body mass in three of four years (body mass of infected geese < uninfected geese in the fourth year). In two telemetry studies, some marked birds were found naturally infected with AIVs at the time of capture, without clinical signs: two LPAI infected-Bewick's swans Cygnus columbianus bewickii showed reduced subsequent migratory performances compared to uninfected swans (van Gils et al. 2007); conversely, one white-faced whistling duck Dendrocygna viduata performed long-distance movements after it had tested positive for HPAI H5N2 virus (Gaidet et al. 2008). However, in both cases, during the first days after release, infected birds performed similar movements to concurrently monitored uninfected birds. These were only short-range movements, precluding our ability to examine the actual impact of infection on long-distance movements during the period of asymptomatic infection. Similarly, no clinical signs were observed during the initial days following inoculation in experimentally infected birds (Table 1). Results from our study, where birds were capable of achieving their maximal dispersal distances in a timeframe of 1-4 days, suggests that wildfowl may disperse the virus over great distances before the effects of infection, if any, would hamper their migration. The delayed effect of infection may impose a longer staging period at a stopover, supporting our conclusion that this virus is unlikely to be dispersed by a single individual over successive but interrupted long-distance movements.

## Effective dispersal

Finally, for virus dispersal to be effective, it must be shed at a sufficiently high concentration, in a location with appropriate environmental conditions for virus survival, and in a location with suitable density and species assemblages for a successful transmission to another host. Asymptomatically infected birds generally excreted virus at lower concentrations than symptomatic birds (Brown et al. 2006; Kalthoff et al. 2008; Keawcharoen et al. 2008), although exceptions exist (e.g. mallard, Keawcharoen et al. 2008). Several studies have, however, shown that even low concentrations of inoculated virus can produce productive infections in captive wildfowl which subsequently contaminated contact birds (Brown et al. 2007; Kalthoff et al. 2008). In addition, inoculated swans and geese (Brown, Stallknecht & Swayne 2008), as well as mallards (Kwon et al. 2010), shedding virus asymptomatically for several days successfully transmitted virus to contact birds before showing (or not) clinical signs of disease. These results suggest that asymptomatically infected birds can disseminate the virus. Outside the breeding season, wildfowl are generally gregarious, particularly at stopover sites during migration where birds from various species, geographic origins and destinations aggregate in large numbers, offering suitable locations for transmission and dispersion over extensive regions.

In recent decades, the emergence and spread of zoonotic pathogens with a wildlife origin, including wild birds (e.g. West Nile, HPAI H5N1), have caused a major impact on global

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health and economies (Jones *et al.* 2008). Our measurement of the potential dispersal range and rate of virus by wildfowl according to species and seasons should allow better evaluation of the risk of spread from an HPAI H5N1 outbreak site according to the period and the presence of wildfowl species when and where it took place. Our approach of integrating data on individual migratory movements and response to experimental infection also provides a novel analytical framework for quantifying the risk of dispersion of pathogens vectored by wild birds.

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## Supporting Information

Additional Supporting Information may be found in the online version of this article.

**Appendix S1.** List of participants in field operations, coordination, and data management of this project.

 Table S1. Summary of satellite-tracked birds equipped and considered in our study.

**Table S2.** Estimated maximum individual values of the maximum potential dispersal distance – Dmax.1, Dmax.4 and Dmax.8 (km) of HPAI H5N1 viruses for each species of satellite-tracked birds (n) for a min., mean and max. AID.

Table S3. Estimated mean (+/-SE) maximum potential dispersal distance – Dmax.1 and Dmax.8 (Km) of HPAI H5N1 viruses for each species of satellite-tracked birds (n) for a minimum and a maximum AID.

**Table S4.** Number of days per year of individual potential for dispersal of HPAI H5N1 virus over a distance > 100 and > 500 km estimated for each species of sedentary or nomadic (SN) birds for a mean AID.

**Fig. S1.** Maximum potential dispersal distance of HPAI H5N1 virus as a function of AID for long-distance migratory species during breeding–post breeding and non-breeding stages and for nomadic/sedentary species.

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